

# 發展以階次分析及小波轉換技術之機械故障診斷

陳錦城、林志哲 吳建達

E-mail: 9419893@mail.dyu.edu.tw

## 摘要

本論文主要是利用適應性階次追蹤及小波轉換技術運用在引擎故障診斷上，在第一部分利用適應性的遞迴式最小平方方法(Recursive Least-Square)和可變的收斂因子仿射投影演算法 (Variable Step-Size Affine-Projection Algorithm)的理論於階次分析的故障診斷技巧上。在第二部分利用連續小波轉換技術於內燃機引擎之故障診斷，階次分析的技巧對於轉動機械的故障診斷而言是一種非常重要工具，傳統故障診斷方法是利用傅立葉分析的技巧伴隨轉軸的轉速來檢測機械的損壞，然而在轉軸轉速變化的情形下，再取樣過程(Resampling)常被用於取捨時、頻域上的解析度。此方法有一些缺點，尤其是相鄰近階次與相交越階次上，存在有頻率抹平(Frequency Smearing)的現象，而本研究是利用高解析的遞迴式最小平方方法和可變的收斂因子仿射投影演算法之階次分析的方法於引擎冷卻風扇之故障診斷，且這些濾波器可以克服傳統故障診斷於變轉速上會發生頻率抹平的問題。工作內容是將聲音訊號經過遞迴式最小平方方法與可變的收斂因子仿射投影演算法做階次追蹤而得到所需的特徵值，藉此判斷是否有故障產生。而在實驗完成之後，高解析的階次振幅可以被計算出，且同時完成高解析的階次分析系統於各種不同情況之風扇損壞的評估。從實驗結果可以得知，應用這些適應性濾波器於風扇之故障診斷確實有其效果。在第二部分介紹小波(wavelet)概念及文獻，小波分析是一個強而有力的工具，它是更適合用在擷取轉動機械的特徵訊號，在本研究介紹連續小波轉換(continuous wavelet transform)技術運用在時頻的特徵分析上。

關鍵詞：故障診斷，階次分析，遞迴式最小平方方法，可變的收斂因子仿射投影演算法，連續小波轉換

## 目錄

COVER CREDENTIAL AUTHORIZATION LETTERS .....	iii
ABSTRACT (CHINESE).....	v
ABSTRACT (ENGLISH).....	vii
TABLE OF CONTENTS .....	x
LIST OF FIGURES .....	xii
LIST OF TABLES.....	xvii
LIST OF SYMBOLS .....	xviii
CHAPTER 1 INTRODUCTION	
1.1 Introduction of this Work .....	1
1.2 Literature Review .....	5
1.3 Overview of this Thesis .....	8
CHAPTER 2 PRINCIPLE OF FAULT DIAGNOSIS AND RESEARCH METHOD	
2.1 Adaptive RLS Filtering Algorithm.....	9
2.2 Adaptive Variable Step-Size Affine Projection Algorithm.....	14
2.3 Principle of Continuous Wavelet Transform Diagnosis Technique.....	20
CHAPTER 3 EXPERIMENTAL VERIFICATION	
3.1 Experimental Arrangement .....	25
3.2 Experimental Results of RLS Algorithm.....	30
3.3 Experimental Results of Variable Step-Size Affine Projection Algorithm.....	36
3.4 Experimental Results of Wavelet Transform Technique.....	40
3.4.1 Application 1: Engine Defect Diagnosis.....	40
3.4.1 Application 2: Engine Cooling Fan Blades Defect Diagnosis.....	48
CHAPTER 4 CONCLUSIONS.....	57
REFERENCES .....	60

## 參考文獻

- [1] J. D. Wu, C. W. Huang and J. C. Chen, 2005, " An order-tracking technique for the diagnosis of faults in rotating machineries using variable step-size affine projection algorithm, " NDT & E International, Vol. 38, pp. 119-127.
- [2] E. Y. Chow and A. S. Willsky, 1984, " Analytical redundancy and the design of robust failure detection systems, " IEEE Transaction on Automatic Control, Vol. 29(9), pp. 603-614.
- [3] P. M. Frank, 1990, " Fault diagnosis in dynamic system using analytical and knowledge-based redundancy: A survey and some new results, " IEEE Transaction on Automatic Control, Vol. 26(5), pp. 459-474.
- [4] R. Isermann, 1991, " Process fault diagnosis based on process model knowledge – part I: Principles for fault diagnosis with parameter

estimation, " ASME Journal of Dynamics Systems, Measurement and Control, Vol. 113, pp. 620-626.

- [5] R. Isermann, 1991, " Process fault diagnosis based on process model knowledge – part II: Case study experiments, " ASME Journal of Dynamics Systems, Measurement and Control, Vol. 113, pp. 627-633.
- [6] M. Biswas, A. K. Pandey, S. A. Bluni and M. M. Samman, 1994, " Modified chain-code computer vision techniques for interrogation of vibration signatures for structural fault detection, " Journal of Sound and Vibration, Vol. 175, pp. 89-104.
- [7] K. Shibata, A. Takahashi and T. Shirai, 2000, " Fault diagnosis of rotating machinery through visualization of sound signals, " Mechanical System and Signal Processing, Vol. 14, pp. 229-241.
- [8] Y. D. Chen, R. Du and L. S. Qu, 1995, " Fault features of large rotating machinery and diagnosis using sensor fusion, " Journal of Sound and Vibration, Vol. 188, pp. 227-242.
- [9] G. Gelle, M. Colas and C. Serviere, 2001, " Blind source separation: a tool for rotating machine monitoring by vibration analysis, " Journal of Sound and Vibration, Vol. 248, pp. 865-885.
- [10] S. Haykin, 1996, " Adaptive filter theory, " Prentice-Hall, New Jersey.
- [11] M. R. Bai, J. Jeng and C. Chen, 2002, " Adaptive order tracking technique using recursive least-square algorithm, " Transaction ASME, Journal of Vibration and Acoustics, Vol. 124, pp. 502-511.
- [12] P. W. Tse, W. X. Yang and H. Y. Tam, 2004, " Machine fault diagnosis through an effective exact wavelet analysis, " Journal of Sound and Vibration, Vol. 227, pp. 1005-1024.
- [13] J. Lin and M. J. Zuo, 2003, " Gearbox fault diagnosis using adaptive wavelet filter, " Mechanical Systems and Signal Processing, Vol. 17(6), pp. 1259-1269.
- [14] A. Yoshida, Y. Ohue and H. Ishikawa, 2000, " Diagnosis of tooth surface failure by wavelet transform of dynamic characteristics, " Tribology International, Vol. 33, pp. 273-279.
- [15] R. Rubini and U. Meneghetti, 2001, " Application of the envelope and wavelet transform analyses for the diagnosis of incipient faults in ball bearings, " Mechanical Systems and Signal Processing, Vol. 15(2), pp. 287-302.
- [16] F. Kong and R. Chen, 2004, " A combined method for triplex pump fault diagnosis based on wavelet transform, fuzzy logic and neuro-networks, " Mechanical Systems and Signal Processing, Vol. 18, pp. 161-168.
- [17] W. J. Wang and P. D. McFadden, 1995, " Application of orthogonal wavelets to early gear damage detection, " Mechanical Systems and Signal Processing, Vol. 9, pp. 497-507.
- [18] W. J. Staszewski and G. R. Tomlinson, 1994, " Application of the wavelet transform to fault detection in a spur gear, " Mechanical Systems and Signal Processing, Vol. 8, pp. 289-307.
- [19] Q. Meng and L. Qu, 1991, " Rotating machinery fault diagnosis using Wigner distribution, " Mechanical Systems and Signal Processing, Vol. 3, pp. 155-166.
- [20] O. Riou and M. Vetterli, 1991, " Wavelets and signal processing, " IEEE Signal Processing Magazine, Vol. 10, pp. 14-18.
- [21] H. Zheng, Z. Li and X. Chen, 2002, " Gear fault diagnosis based on continuous wavelet transform, " Mechanical Systems and Signal Processing, Vol. 16, pp. 447-457.
- [22] A. Swami, G. B. Giannakis and G. Zhou, 1997, " Bibliography on higher-order statistics, " Signal Processing, Vol. 60, pp. 65-126.
- [23] A. V. Oppenheim, R. W. Schaffer, 1999, " Discrete-time signal processing, " Prentice-Hall.
- [24] P. Denbigh, 1998, " System analysis and signal processing, " Addison Wesley.
- [25] S. G. Sankaran and A. A. Beex, 2000, " Convergence behavior of affine projection algorithms, " IEEE Transaction on Signal Processing, Vol. 48, pp. 1086-1096.
- [26] R. W. Harris, D. Chabries, and F. Bishop, 1986, " A variable step (VS) adaptive filter algorithm, " IEEE Transaction on Acoustics, Speech, and Signal Processing, Vol.34, pp. 309-316.
- [27] T. Aboulnasr and K. Mayyas, 1997, " A robust step-size LMS-type algorithm: analysis simulations, " IEEE Transaction on Signal Processing, Vol.45, pp. 631-639.
- [28] R. H. Kwong and E. W. Johnston, 1992, " A variable step size LMS algorithm, " IEEE Transaction on Signal Processing, Vol. 40, pp. 1633-1641.
- [29] J. Lin and L. Qu, 2000, " Feature extraction based on morlet wavelet and its application for mechanical fault diagnosis, " Journal of Sound and Vibration, Vol. 234(1), pp. 135-148.
- [30] W. H. Crouse, 1993, " Automotive mechanics, " New York: McGraw-Hill.