

基於協作影像評估之人臉識別

王哲民、黃登淵

E-mail: 364904@mail.dyu.edu.tw

摘要

在本文中，我們提出一個基於協作影像相似度評估(Collaborative image similarity assessment; CISA)之創新人臉辨識方法。在所提CISA方法中，將未知人臉影像透過線性組合的方式以不同人臉類別訓練影像來呈現；在最後在人臉分類方面，採用相似度評估指標，例如均方根誤差(Root mean square error; RMSE)、結構相似性指標衡量(Structure similarity index measure; SSIM)與相似度評估值(Similarity assessment value; SAV)作為分類的依據。由於所提CISA方法屬於一階段人臉辨識方法，因此比起兩階段測試樣本表示法(Two-phase test sample representation; TPTSR)具有計算效率高與免除選擇相鄰數M之困擾。在人臉分類方面，本文採用ORL人臉資料庫和FERET人臉資料庫來進行評估。在ORL人臉資料庫的評估上，所提CISA方法可達到與TPTSR方法相近的準確性。不過在FERET人臉資料庫的評估上，所提CISA方法遠高於TPTSR方法約11.7%之辨識率。除此之外，對於每一張測試影像，所提CISA方法須費時276.4ms，而TPTSR方法每一張則需要花費800.8ms，由此可知：所提方法相較於TPTSR具有較高的計算效率。

關鍵詞：人臉辨識、協作影像、相似度評估

目錄

封面內頁 簽名頁 中文摘要	iii	英文摘要	
. v		誌謝	vii
.		viii 圖目錄	x
. xi		第一章 緒論 1.1研究背景	1
研究方法	1	1.2研究	1
.	1	1.3研究結果	2
.	2	1.4本文架構	4
影像相似度評估 3.1 前言	10	第二章 文獻回顧與探討	4
.	11	3.2 協作影像(Collaborative Image)	10
.	14	3.3 相似度評估(Similarity Assessment)	14
第四章 TPTSR和人臉特徵擷取演算法 4.1前言	19	3.4 協作影像相似度評估(CISA)	16
法(TPTSR)	19	第四章 4.2兩階層測試樣本表示	19
.	27	4.3主分量分析(PCA)理論基礎	23
.	31	4.4線性鑑別式分析(LDA)理論基	27
.	33	4.4.1 線性鑑別式分析方法	27
.	34	4.4.2 傳統型線性鑑別式分析方法(LDA)	31
.	36	第五章 實驗結果 5.1 前言	33
.	40	5.2 人臉資料庫評估	33
.	40	5.2.1 ORL人臉資料庫	33
.	40	5.2.2 FERET人臉資料庫	33
.	40	5.3 相關軟硬體之規格	35
.	40	5.4 實驗結果與討論	35
.	40	第六章 結論與未來研究方向 6.1 結論	40
.	40	6.2 未來展望	40
.	40	參考文獻	42
.	10	圖目錄 圖3.1 本文所提人臉	
.	12	識別方法之流程圖	
.	13	圖3.2 ORL人臉資料庫中各類別人臉依照測試影像Alice所建立的協作影像	
.	18	圖3.3 協作影像真實反映測試影像人臉特徵之結果；(a)-(c)為原測試影像	
.	24	，(d)-(f)為Alice協作影像，(g)-(i)為Allen協作影像，(j)-(l)為Peter協作影像	
.	34	圖3.4 以Alice為	
.	34	測試影像下ORL人臉資料庫中40類人臉類別之SSIM、RMSE與SAV之分佈情形	
.	35	圖4.1 PCA將影	
表目錄 表1. 已知文獻所提方法在各種人臉資料庫上的辨識率比較	9	像拉成一?陣列	
.	37	圖4.2線性鑑別式分析好壞的差異：(a)較好的情況(b)較差的情況	
.	38	圖5.1 典	
.	38	型的ORL人臉資料庫	
.	38	圖5.2 典型的FERET人臉資料庫	

參考文獻

[1]M. Martinez, and A. C. Kak, "PCA versus LDA," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 23, No. 2, 2001,

pp. 228-233.

- [2]M. W. Huang, Z. W. Wang, and Z. L. Ying, "A new method for facial expression recognition based on sparse representation plus LBP," In: Proc. of IEEE International Congress on Image and Signal Processing, Hong Kong, Vol. 4, 2010, pp. 1750-1754.
- [3]L. Zhang, M. Yang, and X. Feng, "Sparse representation or collaborative representation: which helps face recognition?" In: Proc. of IEEE International Conference on Computer Vision, Barcelona, Spain, November 2011, pp. 471-478.
- [4]A. Khwaja, A. Asthana, and R. Goecke, "Illumination and expression invariant recognition using SSIM based sparse representation?" In: Proc. of IEEE International Conference on Pattern Recognition, Istanbul, Turkey, August 2010, pp. 4028-4031.
- [5]J. Gan, and P. Wang, "A novel model for face recognition," In: Proc. of IEEE International Conference on System Science and Engineering, Macau, China, June 2011, pp. 482-486.
- [6]S. F. Cotter, "Recognition of occluded facial expressions using a fusion of localized sparse representation classifiers," In: Proc. of IEEE Digital Signal Processing Workshop and IEEE Signal Processing Education Workshop, Arizona, USA, January 2011, pp. 437-442.
- [7]M. Yang, and L. Zhang, "Gabor feature based sparse representation for face recognition with Gabor occlusion dictionary," Lecture Notes in Computer Science, Vol. 6316, 2010, pp. 448-461.
- [8]Z. Guo, G. Wu, Q. Chen, and W. Liu, "Palmprint recognition by a two-phase test sample sparse representation," In: Proc. of IEEE International Conference on Hand-Based Biometrics, Hong Kong, China, 2011, pp. 1-4.
- [9]B. Zhang, S. Zhang, and J. Liu, "Sparse regression analysis for object recognition," In: Proc. of IEEE International Conference on Image Processing, Brussels, Belgium, September 2011, pp. 2381-2384.
- [10]M. X. Nguyen, Q. M. Le, P. Vu, T. Trung, and B.H. Le, "Multi-scale Sparse Representation for Robust Face Recognition," In: Proc. of IEEE International Conference on Knowledge and Systems Engineering, Hanoi, Vietnam, 2011, pp. 195-199.
- [11]L. Wei, and F. Xu, "Adaptively weighted subpattern-based sparse preserving projection for face recognition," In: Proc. of IEEE International Conference on Fuzzy Systems and Knowledge Discovery, Chongqing, Sichuan, China, 2012, pp. 1386-1390.
- [12]P. Marasamy, and S. Sumathi, "Automatic recognition and analysis of human faces and facial expression by LDA using wavelet transform," In: Proc. of IEEE International Conference on Computer Communication and Informatics, Coimbatore, India, Jan 2012, pp. 1-4.
- [13]S. Kukreja, and R. Gupta, "Comparative study of different face recognition techniques," In: Proc. of IEEE International Conference on Computational Intelligence and Communication Networks, Gwalior, India, October 2011, pp. 271-273.
- [14]J. Shermina, "Face recognition system using multilinear principal component analysis and locality preserving projection," In: Proc. of IEEE GCC Conference and Exhibition, Dubai, UAE, 2011, pp. 283-286.
- [15]L. Yan, "Fusion method of PCA and BP neural network for face recognition," In: Proc. of IEEE International Conference on Computer Science and Service System, Nanjing, China, 2011, pp. 3256-3259.
- [16]D. Cai, X. He, J. Han, and H. J. Zhang, "Orthogonal Laplacianfaces for face recognition," IEEE Transactions on Image Processing, Vol. 15, No. 11, November 2006, pp. 3608-3614.
- [17]H. Hu, "ICA-based neighborhood preserving analysis for face recognition," Advances in Data Analysis and Classification, Vol. 112, No. 3, December 2008, pp.286-269.
- [18]S. M. Huang, and J. F. Yang, "Improved principal component regression for face recognition under illumination variations," IEEE Signal Processing Letters, Vol. 19, No. 4, April 2012, pp. 179-182.
- [19]E. Rodriguez, K. Nikolaidis, T. Mu, J. F. Ralph, and J. Y. Goulermas, "Collaborative projection pursuit for face recognition" In: Proc. of International Conference on Bio-Inspired computing: Theories and Applications, Changsha, China, 2010, pp. 1346-1350.
- [20]U. Bagci, and B. Li, "Parallel Adaboost algorithm for Gabor wavelet selection in face recognition" In: Proc. of IEEE International Conference on Image Processing, San Diego, California, USA, 2008, pp. 1640-1643.
- [21]P. Wang, and Q. Ji, "Robust face tracking via collaboration of generic and specific models," In: Proc. of IEEE Transactions on Image Processing, Vol. 17, No. 7, July 2008, pp. 1189-1199.
- [22]M. Debruyne, and T. Verdonck, "Robust kernel principal component analysis and classification," Advances in Data Analysis and Classification, Vol. 4, No. 2, 2010, pp. 151-167.
- [23]K. R. Muller, S. Mika, G. Ratsch, K. Tsuda, and B. Scholkopf, "An introduction to kernel-based learning algorithms," IEEE Transactions on Neural Network, Vol. 12, No. 2, 2001, pp. 181-201.
- [24]Y. Xu, D. Zhang, J. Yang, and J. Y. Ynag, "A two-phase test sample sparse representation method for use with face recognition," IEEE Transactions on Circuits and System for Video Technology, Vol. 21, No. 9, 2011, pp. 1255-1262.
- [25]Z. Wang, A. C. Bovik, H. R. Sheikh, and E. P. Simoncelli, "Image quality assessment, from error visibility to structural similarity," IEEE Transactions on Image Processing, Vol. 13, No. 4, 2004, pp. 600-612.
- [26]The ORL face database. Available from: http://www.cl.cam.ac.uk/research/dtg/attarchive/pub/data/att_face.zip [27]The color FERET face database. Available from: <http://face.nist.gov/colorferet> [28]Z. Liu, and R. Laganiere, "Phase congruence measurement for image similarity," Pattern Recognition Letters, Vol. 28, No. 1, January 2007, pp. 166-172.
- [29]Z. Ding, and Y. Du, "Fusion of Log-Gabor wavelet and orthogonal locality sensitive discriminant analysis for face recognition," In: Proc. of

IEEE International Conference on Image Analysis and Signal Processing, Wunan, China, October 2011, pp. 177-180.

[30] C. L. Fan, X. T. Chen, and N. D. Jin, "Research of face recognition based on wavelet transform and principal component analysis," In: Proc. of IEEE International Conference on Natural Computation, Chongqing, China, May 2012, pp. 575-578.

[31] C. Liu, J. Lu, and L. Li, "Three-level face features for face recognition based on center-symmetric Local Binary Pattern," In: Proc. of IEEE International Conference on Computer Science and Automation Engineering, Vol. 4, 2011, pp. 394-398.